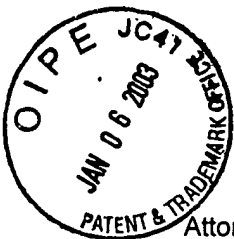


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Patent

Attorney's Docket No.: 005388.P006

In re the Application of: Alexander Jacobson

(inventor(s))

Application No.: 09/909,661

Filed: July 19, 2001

For: ARRAY POLARIZATION BEAMSPLITTER AND COMBINER

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 31	Minus	** 31	0
Indep. Claims	* 5	Minus	*** 4	1
	First Presentation of Multiple Dependent Claim(s)			

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$
X84	\$ 84
+280	\$
Total Add. Fee	\$ 84

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Date of Deposit

JUANITA BRISCOE

Name of Person Mailing Correspondence

Signature

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Date

X A check in the amount of \$ 84.00 is attached for presentation of additional claim(s).
Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).
A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.
Please charge my Deposit Account No. 02-2666 the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (a duplicate copy of this sheet is enclosed):
X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.
X Any extension or petition fees under 37 C.F.R. § 1.17.

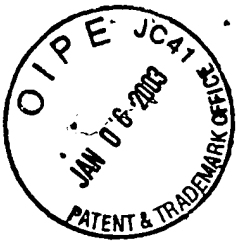
Date: 1/2/03

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP


Daniel E. Ovanezian

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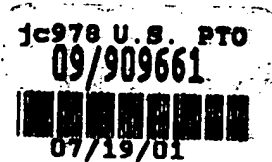
Serial/Patent No.: To be assigned Filing/Issue Date: Herewith
Client: WaveSplitter Technologies, Inc.
Title: Array Polarization Beamsplitter And Combiner

BSTZ File No.: 005388.P006 Atty/Secty Initials: MJM/DEO/cvw
Date Mailed: 07-19-01 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☒ Application - Utility (31 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- ☐ Application - Design (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☒ Declaration & POA (5 pgs.) (signed)
- ☐ Disclosure Docs & Orig & Copy of Inventions Signed Letter (____ pgs.)
- ☒ Drawings: 11 # of sheets includes 11 figures

- ☒ Express Mail No.: EL617183785 ☒ Check No. 44205
- ☐ _____ Month(s) Extension of Time Amt: \$988.00
- ☒ Information Disclosure Statement & PTO 140 (3 pgs.) ☒ Check No. 44207
- ☐ Issue Fee Transmittal Amt: \$40.00
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☒ Postcard
- ☐ Power of Attorney (____ pgs.)
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate



Other: a duplicate Information Disclosure Statement, copies

Due date 10/19/2001

Client Name WaveSplitter Technologies, Inc.

Date 1/19/2002

Client Name WaveSplitter Technologies, Inc.

Docket Initial ME 5388 . p006

Initial ME

5388 . p006